



Serial No. 09/954,852  
Docket No. 10602/35345

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: **Ross Malme *et al.*** ) Group No.: **2161**  
Serial No.: **09/954,852** ) Examiner: **TBD**  
Filed: **09/18/2001** )  
Title: **SYSTEM AND METHODS FOR** )  
**AGGREGATION AND** )  
**LIQUIDATION OF** )  
**CURTAILMENT ENERGY** )  
**RESOURCES**

RECEIVED  
JAN 29 2002  
Technology Center 2100

PRELIMINARY AMENDMENT UNDER RULE 115

Honorable Commissioner of Patents  
BOX AMENDMENT – NO FEE  
Washington, DC 20231

Dear Sir/Madam:

AMENDMENT

Please amend the above application as follows:

**In the Specification:**

Please replace the first paragraph of the application, which is the only paragraph under the section titled “Cross Reference to Related Applications” and begins on line 4 of page 1, with the following rewritten paragraph:

--The following U. S. Patent Application claims priority under 35 U. S.C. § 119 to United States Provisional Application number 60/233,419 filed on September 18, 2000.—

CERTIFICATE OF MAILING

I hereby certify under 37 C.F.R. § 1.10 that this correspondence is being deposited with the United States Postal Service with sufficient postage in an envelope addressed to: Assistant Commissioner of Patents, **BOX AMENDMENT – NO FEE**, Washington, DC 20231, on October 25, 2001.

Brian J. Anderson Reg. No. 43,470